



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	RECEIPT DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
08/113,561	08/25/93	ADAMS	T DEKA055

03B1/0915	
ARNOLD, WHITE & DURKEE PO BOX 4433 HOUSTON, TX 77210-4433	0000
DATE MAILED: 09/15/93	

NOTICE OF INCOMPLETE APPLICATION

A filing date has NOT been assigned to the above identified application papers for the reason(s) shown below.

1. ☐ The specification (description and claims):
- a. ☐ is missing
 - b. ☐ has pages _____ missing
 - c. ☐ does not include a written description of the invention.
 - d. ☐ does not include at least one claim in compliance with 35 U.S.C. 112.

A complete specification in compliance with 35 U.S.C. 112 is required.

* ☒ A drawing of Figure(s) 1, 2, 5 described in the specification is required in compliance with 35 U.S.C. 111.

3. ☐ A drawing of applicant's invention is required since it is necessary for the understanding of the subject matter of the invention in compliance with 35 U.S.C. 113.

4. ☐ The inventor's name(s) is missing. The full names of all inventors are required in compliance with 37 CFR 1.41.

2. * ☒ Other: Figures 7, 8, 8E is not mentioned in spec.
11,

All of the above-noted items, unless otherwise indicated, must be submitted within **TWO MONTHS** of the date of this notice or the application will be returned or otherwise disposed of. Any fee which has been submitted will be refunded less a \$ _____ handling fee. See 37 CFR 1.53(c).

The filing date will be the date of receipt of all items required above, unless otherwise indicated. Any assertions that the items required above were submitted, or are not necessary for a filing date, must be by a petition directed to the attention of the Office of the Assistant Commissioner for Patents accompanied by the \$ _____ petition fee (37 CFR 1.17(h)). If the petition states that the application is complete, a request for refund of the petition fee may be included in the petition.

Direct the response and any questions about this notice to, Attention:
Application Processing Division, Special Processing and Correspondence Branch.

A copy of this notice MUST be returned with the response.

Enclosed:

- ☐ "General Information Concerning Patents." See page _____
- ☐ Copy of a patent to assist applicant in making corrections.
- ☐ "Notice to File Missing Parts of Application," Form PTO-1532.
- ☐ Other: _____

Application Processing Division
(703) 308-1202

REC'D A.W.D.
SEP 20 1993
DOCKET DESK



710-101
592-10
1,034-10
130-105

6/2 A/N

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	RECEIPT DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
08/113,561	08/25/93	ADAMS	T DEKA055

ARNOLD, WHITE & DURKEE
PO BOX 4433
HOUSTON, TX 77210-4433

03B1/0915

RECEIVED

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APPLICATION DIVISION DATE MAILED: 09/15/93

NOTICE TO FILE MISSING PARTS OF APPLICATION
NO FILING DATE
(Enclosure to Form PTO-1123)

Required items 1-9 below SHOULD be filed, with any items required on the "Notice of Incomplete Application" enclosed with this form. The filing date of this application will be the date of receipt of the items required on the "Notice of Incomplete Application." If items 1 and 3-6 below are submitted after the filing date, THE PAYMENT OF A SURCHARGE OF \$ 130 large entities or \$ 65 for small entities who have filed a verified statement 37 CFR 1.27 claiming such status will also be required. (37 CFR 1.16(e)).

The total amount owed by applicant as a ☒ large entity ☐ small entity (verified statement filed) is \$ 226

1. ☒ The statutory basic filing fee is: ☒ missing ☐ insufficient. Applicant as a ☐ large entity ☐ small entity must submit \$ 710 to complete the basic filing fee.
2. ☒ Additional claim fees of \$ 1626 as a ☒ large entity, ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
3. ☒ The oath or declaration:
☒ is missing.
☐ does not cover items required on "Notice of Incomplete Application."
An oath or declaration in compliance with 37 CFR 1.63, referring to the above Application Number and Receipt Date, is required.
4. ☐ The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Receipt Date, is required.
5. ☐ The signature to the oath or declaration is: ☐ missing; ☐ a reproduction; ☐ by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, referring to the above Application Number and Receipt Date, is required.
6. ☐ The signature of the following joint inventor(s) is missing from the oath or declaration: . An oath or declaration signed by the omitted inventor(s), identifying this application by the above Application Number and Receipt Date, is required.
7. ☐ A \$ processing fee is required for returned checks. (37 CFR 1.21(m)).
8. ☐ The application does not comply with the Sequence Rules. See attached Notice To Comply with Sequence Rules 37 CFR 1.821-1.825.
9. ☐ Other:

Direct the response and any questions about this notice to, Attention: Application Processing Division, Special Processing and Correspondence Branch.

A copy of this notice MUST be returned with the response.

Application Processing Division
(703) 308-1202

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1 101 710.00 CK
1 102 592.00 CK
1 103 1,034.00 CK
1 105 130.00 CK



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ARLINGTON, VIRGINIA 22202-3604
TELEPHONE (703) 415-1720

December 14, 1993

FILE:

DEKM:055

RECEIVED

JAN 4 1994

APPLICATION DIVISION

CERTIFICATE OF MAILING
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the date below:

December 14, 1993

Date

David L. Parker

Commissioner of Patents
and Trademarks

ATTN: **Application Processing Division**

Special Processing and Correspondence Branch

Washington, D.C. 20231

Re: SN 08/113,561 "METHODS AND COMPOSITIONS FOR
THE PRODUCTION OF STABLY TRANSFORMED, FERTILE
MONOCOT PLANTS AND CELLS THEREOF" -- Thomas R.
Adams et al.

Sir:

Please find enclosed:

- (1) A Response Under 37 C.F.R. § 1.53(d) to Notice to File Missing Parts mailed September 15, 1993; and
- (2) Petition for extension of time; and
- (3) A return postcard to acknowledge receipt of these materials. Please date stamp and mail this postcard.

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.18 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Commissioner is authorized to deduct or



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: §
Thomas R. Adams et al. §
Serial No.: 08/113,561 § Group Art Unit: Unknown
Filed: August 25, 1993 § Examiner: Unknown
For: METHODS AND COMPOSITIONS § Atty Dkt.: DEKM:055/PAR
FOR THE PRODUCTION OF §
STABLY TRANSFORMED, §
FERTILE MONOCOT PLANTS §
AND CELLS THEREOF §

CERTIFICATE OF MAILING 37 C.F.R. 1.8	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the date below.	
December 14, 1993	
Date	David L. Parker

RESPONSE TO 37 C.F.R. § 1.53(d) NOTICE

Commissioner of Patents
and Trademarks
ATTN: **Application Processing Division**
Special Processing and Correspondence Branch
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of
Application Under 37 C.F.R. § 1.53(d), mailed September 15, 1993,
there are enclosed herewith:

- (a) Declaration executed on behalf of Thomas R. Adams, Paul
C. Anderson, Sheryl A. Chambers, Paul S. Chomet,
Richard J. Daines, Christopher E. Flick, Kimberly

Glassman, William J. Gordon-Kamm, Albert P. Kausch, Lucille B. Laccetti, Peggy G. Lemaux, Chatherine J. Mackey, Mary Lou Mangano, Michael T. Mann, James V. O'Brien, Emil M. Orozco, Peter Orr, Thomas B. Rice, T. Michael Spencer, William G. Start, Michael A. Stephens, Clayton S. Vetsch, David A. Walters, Donald S. Walters, Nancy G. Willetts, and Susan J. Zachwieja; and

- (b) A Power of Attorney on behalf of Dekalb Genetics Corporation;
- (c) Our check in the amount of \$2,576.00 to cover the basic filing fee \$710.00; surcharge for late filing \$130.00; additional dependent claims fee \$1,034.00; and independent claims fee \$592.00;
- (e) A copy of Notice to File Missing Parts of Application, No Filing Date; and a copy of Notice of Incomplete Application, mailed September 15, 1993; and
- (f) A Request for Extension of Time of 1 month to and including December 15, 1993. Should such request be absent, consider this such a request and authorization to withdraw the appropriate fee under 37 C.F.R. §§ 1.16 to 1.18 as stated below.

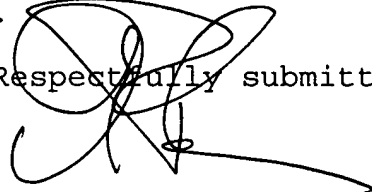
Two Assignments to Dekalb Genetics Corporation and a check for \$80.00 are being filed under separate cover.

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.18 be required for

any reason relating to the enclosed materials, or should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Arnold, White & Durkee Deposit Account No. 01-2508/DEKM:055/PAR.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Respectfully submitted,


David L. Parker
Reg. No. 32,165

ARNOLD, WHITE & DURKEE
P.O. Box 4433
Houston, Texas 77210
(512) 320-7200

Date: December 14, 1993

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ARNOLD, WHITE & DURKEE

Commissioner of Patents
and Trademarks
December 14, 1993
Page 2

credit said fees from or to Arnold, White & Durkee Deposit Account
No. 01-2508/DEKM:055/PAR.


Respectfully submitted,

David L. Parker
Reg. No. 32,165

DLP/sfo.12
Enclosures